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COMMENTS:

In response to the Request for Substitute Papers mailed 4/10/02, please see documents transmitted herewith.

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APR 24 2002

Applicants: Clinton et al.

GROUP 1600

Filing Date: February 16, 2000

Serial No.: 09/506,079

For: HER-2 BINDING ANTAGONISTS

Art Unit: 1642

Examiner: J. Hunt

Docket: 49321-16

Date: November 26, 2001

Box SEQUENCE

Assistant Commissioner for Patents
Washington, DC 20231TRANSMITTAL AND RESPONSE TO NOTICE TO COMPLY UNDER C.F.R. 1.821

Sir:

In response to the NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES, attached to the Office Communication dated August 28, 2001,

Applicants submit the following:

Request for Two-Month Extension of Time;

Check in the amount of \$200;

Amendment;

Sequence Listing (under C.F.R. 1.821(c) and (e));

Statement under C.F.R. 1.821; and

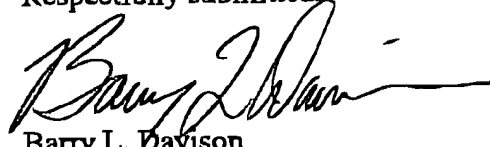
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REMARKS

Minor corrections to the sequence listing, as required, explaining the use of n , have been made to bring it into conformity with the requirements of C.F.R. 1.821-1.825.

Respectfully submitted,



Barry L. Davison
Attorney for Applicants
Registration No. 47,309

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Application N : 09/506,079

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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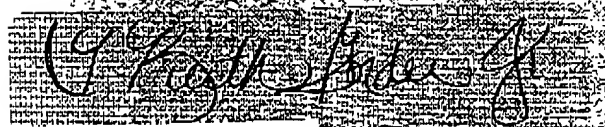
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Please acknowledge receipt of the following:

1. Transmittal and Response
2. Request for two-month extension of time (in duplicate)
3. Check in the amount of \$200
4. Amendment
5. Sequence Listing print-out
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7. Statement under CFR 1.821
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Thank you.



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SEQUENCE LISTING

USSN: 09/506,079

HER-2 BINDING ANTAGONISTS

Filed: February 16, 2000

Attorney Docker 49321-16

IBM FORMAT

SEQUENCE LISTING

USSN: 09/506,079

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Filed: February 16, 2000

Attorney Docket 49321-16